



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Steven D. Roach

Application No.: 10/003,096 Confirmation No.: 5186

Filed : December 6, 2001

For : CIRCUITRY AND METHODS FOR IMPROVING

THE PERFORMANCE OF A LIGHT EMITTING

ELEMENT

Group Art Unit : 2821

Examiner : James Vu

New York, New York 10020 April 3, 2003

Box DAC Hon. Commissioner for Patents P.O. Box 2327 Arlington, Virginia 22202

PETITION UNDER 37 C.F.R. § 1.137(b,f) FOR REVIVAL OF UNINTENTIONALLY ABANDONED PATENT APPLICATION

Sir:

Pursuant to 37 C.F.R. § 1.137(b,f), applicant hereby petitions for revival of the above-identified patent application, which may have become abandoned because the above-identified patent application was filed in another country that requires publication of applications eighteen months after filing and applicant unintentionally may have failed to notify the Patent and Trademark Office of that foreign filing within forty-five days thereof.

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OFFICE OF PETITIONS

37 C.F.R. § 1.137(b)(1)

This petition is accompanied by a notification under 37 C.F.R. § 1.213(c) that the above-identified patent application was filed in another country that requires publication of applications eighteen months after filing.

37 C.F.R. § 1.137(b)(2)

A check in the amount of \$1,300.00, in payment of the petition fee set forth in 37 C.F.R. § 1.17(m), is enclosed herewith. The Director is hereby authorized to charge any additional fee that may be due, or credit any overpayment, in connection with this Petition, to Deposit Account No. 06-1075. A duplicate copy of this Petition is enclosed herewith.

37 C.F.R. § 1.137(b)(3)

Any delay in notifying the Patent and Trademark Office that the above-identified patent application was filed in another country that requires publication of applications eighteen months after filing, including the delay in filing this petition, was unintentional.

Applicant filed a Rescission Under 37 C.F.R. § 1.213(b) Of Nonpublication Request on October 16, 2002, prior to the earliest foreign filing (November 28, 2002) of the above-identified patent application. The Rescission was filed in a format based on the original Patent and Trademark Office form for such rescissions, which did not include a notification that foreign filing had occurred (and indeed, at the time of filing the rescission, foreign filing had not yet occurred).

Applicant understood, based on the original Patent and Trademark Office form, that filing of the rescission met the requirement for notifying the Patent and Trademark Office that foreign filing had occurred.

The undersigned learned on April 1, 2003 that the Patent and Trademark Office had changed its form for

rescission of a nonpublication request to include an explicit notification of foreign filing, and that under the Patent and Trademark Office's current interpretation of 37 C.F.R. § 1.213(c), the mere rescission of a nonpublication request does not satisfy the requirement that the Patent and Trademark Office be notified that an application in which a nonpublication request had been filed has been filed in a foreign country that publishes applications after eighteen In view of that interpretation, applicant in an abundance of caution has prepared and is filing this Petition immediately.

Request for Retroactive Effect

Applicant further respectfully requests that revival of the above-identified patent application be granted retroactively to January 12, 2003, the date that is forty-five days after the earliest foreign filing.

Conclusion

Prompt grant of this petition is respectfully requested.

I hereby certify that this
correspondence is being deposited
with the United States Postal Service
as first class mail in an envelope
addressed to:
Hon. Commissioner for Patents

P.O. Box 2327 Arlington, Virginia 22202

April 3

Claire J. Saintil-van Goodman

Date of Signature

New York, New York 10020-1104

Tel.: (212) 596-9000

FISH & NEAVE

Respectfully submitted,

George Y. Kanabe

Agent for Applicant

1251 Avenue of the Americas

Customer No. 1473

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NOTIFICATION UNDER 37 C.F.R. § 1.213(c) OF FOREIGN FILING OF PATENT APPLICATION

Sir:

Pursuant to 37 C.F.R. § 1.213(c), applicant hereby notifies the Patent and Trademark Office that the above-identified patent application was filed in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing.

The earliest such filing occurred on November 28, 2002, which is more than forty-five days prior to this notification. A petition under 37 C.F.R. § 1.137(b,f)

to revive this application is being filed concurrently herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Hon. Commissioner for Patents P.O. Box 2327

Arlington, Virginia 22202

on ______ April 3, 2003

Claire J. Saintil-van Goodman

Name of Person Signing Certificate

Signature of Person Signing Certificate

Date of Signature

Respectfully submitted,

George L. Kanabe

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